

FASEA approved courses current and archived

Education Provider

Kaplan Higher Education trading as Kaplan Professional

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Current courses approved by FASEA

Bachelor Degree (AQF7)

No courses currently available.

Master Degree (AQF9)

Master of Financial Planning

The relevant provider completed or completes the following courses of study as part of the degree program:

1. FPC001 / FPC001U* Economic, Legal and Ethical Context for Financial Planning;
2. FPC002 / FPC002U* Applied Financial Planning;
3. FPC003 / FPC003U* Superannuation and Retirement Advice;
4. FPC004 / FPC004U* Insurance Advice;
5. FPC005 / FPC005U* Estate and Succession Planning;
6. FPC006 / FPC006U* Tax and Commercial Law for Financial Planning;
7. FPC007 / FPC007U* Client Engagement Skills;
8. FPC008 / FPC008U* Investment Advice.

*These subjects were offered from March 2014 to July 2015 only.

Accredited for students commencing during or after January 2014.

Graduate Diploma (AQF8)

Graduate Diploma of Financial Planning (GDFP19)

The relevant provider completed or completes the following courses of study as part of the degree program:

1. FPC001B Economic and Legal Context for Financial Planning
2. FPC002B Ethics and Professionalism in Financial Advice
3. FPC003 Superannuation and Retirement Advice
4. FPC004 Insurance Advice
5. FPC005 Estate and Succession Planning
6. FPC006 Tax and Commercial Law for Financial Planners
7. FPC007B Client Engagement Skills
8. FPC008 Investment Advice

Accredited for students commencing during or after July 2019.

Bridging courses (AQF8)

1. FPC001B Economic and Legal Context for Financial Planning
2. FPC002B Ethics and Professionalism in Financial Advice
3. FPC007B Client Engagement Skills

Available as standalone courses or as part of a Graduate Diploma of Financial Planning or Master of Financial Planning course.

Accredited for students commencing during or after July 2019.

Previously provided courses recognised by FASEA (archived courses)

Bachelor Degree (AQF7)

No courses currently available.

Graduate Diploma (AQF8)

Graduate Diploma of Financial Planning

The relevant provider completed or completes the following courses of study as part of the degree program:

1. Financial Planning Fundamentals (FIN211);
2. Investment Advice (FIN212) previously known as Investment Products;
3. Superannuation and Retirement Planning (FIN213);
4. Insurance, Succession and Estate Planning (FIN214).

Accredited for students commencing during or after 2006 and before the end of 2013.

Graduate Diploma of Applied Finance (Financial Planning major)

The relevant provider completed or completes the following courses of study as part of the degree or diploma program:

1. Financial Planning Fundamentals (FIN211);
2. Investment Advice (FIN212) previously known as Investment Products;
3. Superannuation and Retirement Planning (FIN213);
4. Insurance, Succession and Estate Planning (FIN214).

Accredited for students commencing during or after 2006 and before the end of 2010.

Graduate Diploma in Financial Planning (GDFP14)

The relevant provider completed or completes the following courses of study as part of the degree program:

1. Either
 - i. FPC001 Economic, Legal and Ethical Context for Financial Planning
 - ii. FPC001B Economic and Legal Context for Financial Planning (commenced July 2019)
2. Either
 - i. FPC002 Applied Financial Planning
 - ii. FPC002B Ethics and Professionalism in Financial Advice (commenced July 2019)
3. FPC003 Superannuation and Retirement Advice
4. FPC004 Insurance Advice
5. FPC005 Estate and Succession Planning
6. FPC006 Tax and Commercial Law for Financial Planning
7. Either
 - i. FPC007 Client Engagement Skills
 - ii. FPC007B Client Engagement Skills (commenced July 2019)
8. FPC008 Investment Advice

Note: If the relevant provider completed FPC002 Applied Financial Planning in condition (2), they will be required to complete a FASEA approved Ethics for Professional Advisers Bridging Course.

Accredited for students commencing during or after 1 January 2014 and before the end of July 2019.

Graduate Diploma of Financial Planning (Historical Securities Institute/FINSIA)

NOTE: Financial Services Institute of Australasia (FINSIA), formerly Securities Institute Australia (SIA).
Accredited for students commencing at any time.

Graduate Diploma of Applied Finance and Investment (Historical 8 Unit Securities Institute/FINSIA) – Investment Management Stream (1991 to 2007)

The relevant provider completed the following courses of study as part of the degree program:

- | | |
|------------------------------------------------|--------|
| 1. Financial Markets and Economics | (C1) |
| 2. Financial/Investment Analysis and Valuation | (C2) |
| 3. Securities Industry Law and Ethics | (C3) |
| 4. Either | |
| i. Fundamentals of Portfolio Management | (E121) |
| ii. Asset Classes and Allocation Strategies | (E121) |
| iii. Asset Allocation | (E121) |
| 5. Applied Portfolio Management | (E122) |

Plus, three (3) from the following:

- | | |
|----------------------------------------------|-------------|
| 1. Interest Rate Markets and Risk Management | (E101) |
| 2. Either | |
| i. Applied Valuation | (E102) |
| ii. Applied Valuation and Analysis | (E102) |
| 3. Industrial Equity Analysis | (E131) |
| 4. Property Investment Analysis | (E133) |
| 5. Superannuation and Funds Management | (E126/E226) |
| 6. Securities Industry Taxation | (E106/E201) |

Note: For subjects completed between 1991 and 1996, refer to the Transitional Arrangements section on page 7.

Accredited for students commencing during or after 1996 and before the end of 2007.

Graduate Diploma of Applied Finance and Investments (Historical 8 Unit Securities Institute/FINSIA) (1991 to 2007)

The relevant provider completed the following courses of study as part of the degree program:

- | | |
|------------------------------------------------|------|
| 1. Financial Markets and Economics | (C1) |
| 2. Financial/Investment Analysis and Valuation | (C2) |
| 3. Securities Industry Law and Ethics | (C3) |

3 Elective units

A minimum of three (3) electives from the following:

1. Either
 - i. Personal Investment Mgt and Tax Planning (E151)
 - ii. Taxation and Strategies for Financial Planning (E151)
2. Superannuation and Retirement Planning (E152)
3. Risk Management and Estate Planning (E153)
4. Financial Planning Fundamentals (155)
5. Financial and Investment Products (156)
6. Financial Planning Skills I (157)
7. Financial Planning Skills II (158)
8. Financial Planning Essentials (C4)
9. Either
 - i. Fundamentals of Portfolio Management (E121)
 - ii. Asset Classes and Allocation Strategies (E121)
 - iii. Asset Allocation (E121)
10. Applied Portfolio Management (E122)
11. Interest Rate Markets and Risk Management (E101)
12. Either
 - i. Applied Valuation (E102)
 - ii. Applied Valuation and Analysis (E102)
13. Interest Rate Markets and Risk Management (E101)
14. Industrial Equity Analysis (E131)
15. Property Investment Analysis (E133)
16. Superannuation and Funds Management (E126/E226)
17. Securities Industry Taxation (E106/E201)
18. Derivatives Pricing and Trading Strategies (E115)
19. Futures Markets and Trading (E112)
20. Options Markets and Trading (E113)

Note: For subjects completed between 1991 and 1996, refer to the Transitional Arrangements section on page 7.

Accredited for students commencing during or after 1996 and before the end of 2007.

Graduate Diploma of Applied Finance and Investment (Historical 8 Unit Securities Institute/FINSIA) (1991 to 1995)

The relevant provider completed the following courses of study as part of the degree program:

1. The Securities Industry (51C)
2. Securities Industry Law (52C)
3. Securities Industry and Economics (53C)
4. Financial Statement Analysis (54C)

Plus, three (3) from the following:

1. Applied Portfolio Management (58)
2. Money Market and Fixed Interest Investment (59)
3. Australian Futures Trading (60)
4. Options Markets and Trading (62)
5. Property Investment and Analysis (64)
6. Applied Superannuation (67)

Accredited for students commencing during or after 1991 and before the end of 2005.

Transitional Arrangements for the Graduate Diploma of Applied Finance and Investment

The following transitional arrangements were in place for Graduate Diploma of Applied Finance and Investment students who completed subjects between 1991 and 1996. In all cases, students were required to complete eight subjects in order to graduate.

This table shows the credits given for completion of Graduate Diploma in Applied Finance and Investment subjects between 1991 to 1996.

Pre 1996 Subject	Code	Post 1996 Subject	Code
The Securities Industry	(51C)	Financial Markets and Economics	(C1)
Securities Industry Law	(52C)	Securities Industry Law and Ethics	(C3)
Securities Industry Economics	(53C)	Financial Markets and Economics	(C1)
Financial Statement Analysis	(54C)	Financial Analysis and Valuation	(C2)
The Securities Industry and Securities Industry Economics	(51C) (53C)	Financial Markets and Economics plus credit for any one elective subject in Level 1	(C1)
Applied Portfolio Management	(58)	Applied Portfolio Management	(E122)
Money Market and Fixed Interest Investment	(59)	Risk Management	(E101)
Australian Futures Trading	(60)	Futures Markets and Trading	(E112)
Options Markets and Trading	(62)	Options Markets and Trading	(E113)
Property Investment and Analysis	(64)	Property Investment Analysis	(E133)
Securities Industry Taxation	(66)	Securities Industry Taxation	(E201)
Applied Superannuation	(67)	Superannuation and Funds Management	(E226)

Graduate Diploma of Financial Planning (Historical Securities Institute/FINSIA) (1998 to 2002)

The relevant provider completed the following courses of study as part of the degree program:

1. Financial Markets and Economics (C1)
2. Financial Analysis and Valuation (C2)
3. Either
 - i. Securities Industry Law and Ethics (C3)
 - ii. Financial Planning Essentials (C4)
4. Either
 - i. Taxation and Strategies for Financial Planning (E151)
 - ii. Personal Investment Mgt and Tax Planning (E151)
5. Superannuation and Retirement Planning (E152)
6. Risk Management and Estate Planning (E153)
7. Investment Planning and the Financial Planning Process (E254)*

* This subject is a double subject

Accredited for students commencing during or after 1998 and before the end of 2002.

Graduate Diploma of Financial Planning (Historical Securities Institute/FINSIA) (2002 to 2008)

The relevant provider completed the following courses of study as part of the degree program:

1. Financial Markets and Economics (C1)
2. Financial Planning Fundamentals (I55)
3. Financial and Investment Products (I56)
4. Financial Planning Skills I (I57)
5. Financial Planning Skills II (I58)
6. Superannuation and Retirement Planning (E152)

Plus, two (2) electives from the following:

- | | |
|---------------------------------------------------|--------|
| 1. Taxation and Strategies for Financial Planning | (E151) |
| 2. Risk Management and Estate Planning | (E153) |
| 3. Financial Analysis and Valuation | (C2) |
| 4. Foreign Exchange Markets and Trading | (E111) |
| 5. Futures Markets and Trading | (E112) |
| 6. Derivatives Pricing and Trading Strategies | (E115) |
| 7. Applied Portfolio Management | (E122) |
| 8. Industrial Equity Analysis | (E131) |
| 9. Property Investment Analysis | (E133) |
| 10. Fundamentals of Portfolio Management | (E121) |

Accredited for students commencing during or after 2002 and before the end of 2008.

Master Degree (AQF9)

Master of Applied Finance (Financial Planning major)

The relevant provider completed the following courses of study as part of the degree program:

1. Financial Planning Fundamentals (FIN211);
2. Investment Advice (FIN212) previously known as Investment Products;
3. Superannuation and Retirement Planning (FIN213);
4. Insurance, Succession and Estate Planning (FIN214).

Accredited for students commencing during or after 2006 and before the end of 2013.

Bridging courses (AQF8)

1. Financial Advice Regulatory & Legal Obligations (Corporations Act, AML, Privacy & TPB)
2. Behavioural Finance: Client and Consumer Behaviour, Engagement and Decision Making
3. Ethics for Professional Advisers

No courses currently approved.



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