

Degrees, Qualifications and Courses Legislative Instrument 2019
 Schedule 1 – FASEA New Approved Degree Courses

Higher Education Provider	Table item	Course
University of Technology Sydney	59A	Bachelor of Business (Financial Planning major)
Australian Catholic University	70A	Graduate Diploma of Financial Planning
	70B	Graduate Diploma of Financial Planning
	71	Master of Finance
Central Queensland University	71A	Master of Financial Planning
	71B	Graduate Diploma in Financial Planning
Charles Sturt University	74	Master of Applied Finance with studies in Financial Planning
	75A	Graduate Diploma in Financial Planning
	75B	Graduate Diploma in Financial Planning
Deakin University	77A, 77B	M683 Graduate Diploma of Financial Services
	77C, 77D, 77E, 77F	M640 Graduate Diploma of Financial Planning
	77G	M669 Graduate Diploma of Financial Planning
Griffith University	80A	Graduate Diploma of Financial Planning (4181)
	80B	Graduate Diploma of Financial Planning (4184)
	80C	Graduate Diploma of Financial Planning (4185)
	80D	Graduate Diploma of Financial Planning (4186)
Kaplan Higher Education	87	Graduate Diploma of Applied Finance (Financial Planning major).
	88	Graduate Diploma of Applied Finance (Financial Planning major).
	88A	GDFP14 Graduate Diploma in Financial Planning
	88B	GDFP19 Graduate Diploma in Financial Planning
	88C	Graduate Diploma in Financial Planning (Historical SIA / FINSIA)
	88D	Graduate Diploma of Applied Finance and Investment (Historical SIA/FINSIA) – Investment Management Stream
	88E	Graduate Diploma of Applied Finance and Investment (Historical SIA/FINSIA) – Investment Management Stream
	88F	Graduate Diploma of Applied Finance and Investment (Historical SIA/FINSIA).
Queensland University of Technology	89A	BS79 Graduate Diploma in Business (Financial Planning)
Swinburne University of Technology	90A	Graduate Diploma of Financial Planning
University of New England	92A	Graduate Diploma of Financial Planning
University of South Australia	99A	DGFP Graduate Diploma of Financial Planning.
	99B	XGFP Graduate Diploma of Financial Planning
University of Southern Queensland	101A	Graduate Diploma in Business (Personal Financial Planning).
University of the Sunshine Coast	104A	Graduate Diploma of Financial Planning
Western Sydney University	106A	Graduate Diploma in Financial Planning.
	106B	Graduate Diploma in Stockbroking and Financial Advising.

	106C	Graduate Diploma in Financial Planning.
	106D	Graduate Diploma in Stockbroking and Financial Advising.
University of Technology Sydney	111	Graduate Diploma in Financial Planning
TAFE NSW	112	Graduate Diploma of Financial Planning

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 Schedule 2 – FASEA New Approved Bridging Courses

Higher Education Provider	Table item	Course
Central Queensland University	1	FINC20029 Financial Advice (Regulation and Legal Obligations).
	2	FINC20031 Ethics and Professionalism Financial Services.
	3	FINC20030 Behavioural Finance for Advisers.
Deakin University	4	MLM717 Financial Services Regulation.
	5	MAA769 / MAI769 Ethics for Financial Services.
	6	MAA728 / MAI728 Financial Behaviour and Decision Making.
Griffith University	7	7159AFE / 7823AFE Principles of Business and Corporations Law (including Financial advice regulatory and legal obligations modules).
	8	7250AFE / 7814AFE Professionalism in Financial Services.
	9	7246AFE / 7812AFE Behavioural Finances and Wealth Management.
Kaplan Higher Education	10	FPC001B Economic and Legal Context for Financial Planning
	11	FPC002B Ethics and Professionalism in Financial Advice.
	12	FPC007B Client Engagement Skills.
Swinburne University of Technology	13	FIN60009 Ethics, Regulation and Client Management (Hawthorn Campus or Swinburne Online delivery).
New South Wales Technical and Further Education Commission (TAFE NSW)	14	FPLAW501A Commercial and Corporations Law.
	15	FPETH501A Ethics and Professional Standards for Financial Advisers.
	16	FPBFI501A Behavioural Finance.
Queensland University of Technology	17	AYN / AYQ457 Financial Planning Principles and Regulation.
	18	AYN / AYQ458 Ethics and Professional Relationships.
	19	EFN520 / EFQ520 Financial Planning Capstone.
University of Newcastle	20	LEGL6006 Financial Service Regulations and Law.
	21	GSBS6516 Ethics in Financial Services.
	22	GSBS6517 Behavioural Finance and Client Relationships.
University of New England	23	LSSU594 Issues in Commercial and Financial Services Law.
	24	MM467 Professional Ethics.
	25	FPL550 Behavioural Finance and Investment Portfolio Management.
University of South Australia	26	COML 5017 / COML 5018 UO Financial Advice Regulatory and Legal Obligations.
	27	BUSS 5446 / BUSS 5447 UO Ethics and Professionalism.

	28	BANK 50502 / BANK 5053 UO Behavioural Finance Client and Consumer Behaviour.
University of Technology Sydney	29	25772 Financial Planning; principles and regulation.
	30	25769 Ethics for Financial Planning.
	31	25770 Behavioural Finance.
Western Sydney University	32	201041 Financial Advice (Regulation and Legal Obligations).
	33	201037 Ethics and Professionalism Financial Services.
	34	201038 Behavioural Finance for Advisers.

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FASEA Approved Recognition of Prior Learning

Provider	Course	Credits
Any Registered Training Organisation	Advanced Diploma of Financial Planning (8 units)	2
Financial Planning Association (FPA)	Diploma of Financial Planning (DFP 1-8)	2
Securities Institute Education	Diploma of Financial Advising	2
Securities Institute Education	Diploma of Financial Markets	2
Financial Planning Association (FPA)	5 Unit CFP Program – commenced after 2003	2
Financial Planning Association (FPA)	CFP 1-4 Program – commenced after 1 July 1999 and before 31 December 2003	2
SMSF Association	SMSF Specialist Adviser - (9 topic areas)	1
Association of Financial Advisers (AFA)	Fellow Chartered Financial Practitioner (FChFP) - commenced in or after 2013	2
Association of Financial Advisers (AFA)	Chartered Life Practitioner (ChLP) - commenced in or after 2013	2
Chartered Accountants Australia and New Zealand (CAANZ)	Chartered Accountant Program commenced in or after 1972	1
Certified Practising Accountants (CPA) Australia	CPA Program commenced in or after 1989	1
Certified Practising Accountants (CPA) Australia	CPA Program - includes at least 1 of the following Financial Planning electives: <ul style="list-style-type: none"> • Personal Financial Planning and Superannuation • Financial Planning Fundamentals • Superannuation and Retirement Planning • Investment Strategies • Risk Advice and Insurance • Financial Risk Management 	2
Portfolio Construction Forum	Certified Investment Management Analyst (CIMA) Program commenced in or after 2001	1
CFA Institute	Chartered Financial Analyst (CFA) Program	1

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 Schedule 1 Part 1: Undergraduate Degrees
 Input from Higher Education Providers

Higher Education Provider	Table item	Course	Update
Central Queensland University	1	Bachelor of Property (Financial Planning), Bachelor of Accounting (Financial Planning), Bachelor of Business (Financial Planning) – unit title update	Unit title update
	2	Bachelor of Arts / Bachelor of Business (Financial Planning)	Unit codes and title updates
	3	Bachelor of Accounting (Financial Planning)	Commencement date, unit code and title updates
	4	Bachelor of Accounting / Bachelor of Business (Financial Planning)	Commencement date, unit code and title updates
	5	Bachelor of Accounting (Financial Planning)	Deleted as duplicate
	6	Bachelor of Property (Financial Planning)	Commandment date, unit code and title updates
	7	Bachelor of Property (Financial Planning)	Deleted as duplicate
	8	Bachelor of Financial Planning / Bachelor of Accounting	Unit codes and titles updated.
	9	LLB / Bachelor of Business (Financial Planning).	Unit codes and titles updated.
	12	Bachelor of Accounting (Financial Planning)	Unit code updated
Curtin University	15	Bachelor of Commerce Major in Financial Planning v1	Unit title update.
	16, 17, 18, 19	<ul style="list-style-type: none"> • Bachelor of Commerce Major in Financial Planning v2, • Bachelor of Commerce Major in Financial Planning v3, • Bachelor of Commerce Major in Economics and Financial Planning, Bachelor of Commerce Major in Accounting and Financial Planning 	Unit code and title updates
	20	Bachelor of Commerce (Finance and Financial Planning)	Commencement date updated
	22	Any Bachelor degree	Commencement date, unit codes and titles updated
Deakin University	23	Any Bachelor degree	Unit codes and titles updated.
	24	Bachelor of Commerce major in Financial Planning (v1)	Commencement date, unit codes and titles updated
	25	Bachelor of Commerce major in Financial Planning (v2)	Commencement date, unit codes and titles updated
	26	Bachelor of Commerce major in Financial Planning (v3)	Unit codes and titles updated.

Griffith University	27	Bachelor of Commerce (Financial Planning), Bachelor of Commerce (Professional) Financial Planning, Bachelor of Commerce (Accelerated) Financial Planning	Commencement date, unit codes and titles updated
	29	Bachelor of Commerce in Financial Planning and Investments	Commencement date updated
La Trobe University	30	Bachelor of Business (Financial Planning major)	Commencement date, unit codes and titles updated
Queensland University of Technology	31	Bachelor of Business (Financial Planning) 9 Unit Specialisation	Unit title updated
RMIT	34	BP314 Bachelor of Business (Financial Planning)	Commencement date and unit code updated
	36	Bachelor of Business (Economics and Finance)	Commencement date and unit code updated
	37	Bachelor of Business (Financial Planning).	Unit codes and titles updated.
	38	Bachelor of Business (Financial Planning) (v2)	Unit codes and titles updated.
	39	BP 135 Bachelor of Business (Financial Planning	Commencement date and unit code updated
	40	Bachelor of Business (Financial Planning)	Unit codes and titles updated.
TAFE NSW	42	Bachelor of Applied Commerce majoring in Financial Planning, Bachelor of Applied Commerce Accounting Financial Planning Double Major.	Commencement date and unit codes updated
University of Canberra	43	Bachelor of Commerce (Financial Planning major), Bachelor of Finance (Financial Planning major)	Unit codes and titles updated.
University of New England	44	Bachelor of Financial Services and Bachelor of Laws; Bachelor of Financial Services	Unit codes and notes updated
	45	Bachelor of Accounting (Financial Planning major)	Unit codes and notes updated
	46	Bachelor of Business (Financial Planning major	Unit codes and notes updated
	47	Bachelor of Financial Services.	Unit codes and notes updated
	48	Bachelor of Business, Bachelor of Accounting	Deleted – duplicate
	49	Bachelor of Business and Bachelor of Laws	Commencement date and unit code and titles updated
University of South Australia	51	XBFP Bachelor of Business (Financial Planning)	Course title updated
	52	Bachelor of Applied Finance (DBBF).	Units updated
	53	DBCF Bachelor of Business (Finance)	Units updated
	54	OBBF Bachelor of Business (Finance) Off-shore program	Units updated

	55	DBCB Bachelor of Commerce / Bachelor of Applied Finance	Units updated
	56	DBCD Bachelor of Commerce / Bachelor of Applied Finance	Units updated
	57	Bachelor of Commerce (Personal Financial Planning).	Additional note
University of the Sunshine Coast	59	Bachelor of Commerce (Financial Planning	Additional note
The University of Wollongong	60	Bachelor of Commerce (Financial Planning)	Commencement date and units updated
	61	Bachelor of Mathematics and Finance (Honours) Major in Financial Planning; Bachelor of Mathematics and Finance Major in Financial Planning	Unit title update
Victoria University	62	Bachelor of Business (Financial Risk Management)	Deleted – duplicate
	63	Bachelor of Business (Financial Risk Management)	Unit code update
	64	Bachelor of Business (Financial Planning)	Unit code update
Western Sydney University	67	Bachelor of Business (Accounting) with Financial Planning sub-major	Unit code and title updates
	68	Bachelor of Business and Commerce (Accounting) with Financial Planning sub-major	Unit code and title updates

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 Schedule 1 Part 2: Post Graduate Degrees
 Input from Higher Education Providers

Higher Education Provider	Table item	Course	Update
Charles Sturt University	75	Master of Applied Finance (Financial Planning)	Commencement date and units updated
Curtin University	76	Master of Financial Planning	Unit codes updated
Deakin University	79	Master of Financial Planning	Commencement date, unit code and title updated
	80	Master of Financial Planning	Unit code and title updated
Griffith University	81	Master of Business Administration (Financial Planning)	Commencement date updated
	82	Master of Commerce (Financial Planning)	Commencement date, unit code and titles updated
	83	Master of Financial Planning	Unit codes and titles updated
	84	Master of Financial Planning	Commencement date, unit code and titles updated
Kaplan Higher Education Pty Ltd	85	Master of Financial Planning	Unit codes and titles updated
	86	Master of Applied Finance (Financial Planning Major).	Commencement date updated
	88G	Graduate Diploma of Financial Planning (Historical SIA / FINSIA)	Commencement date and units updated
	88H	Graduate Diploma in Financial Planning (Historical SIA / FINSIA).	Commencement date and units updated
RMIT	90	Master of Financial Planning/Master of Business (Financial Planning)	Course title, commencement date, unit codes and titles updated
Swinburne University of Technology	91	Master of Financial Planning	Unit codes and titles updated
University of New England	94	Graduate Diploma in Financial Services	Commencement date, unit codes and titles updated
	95	Master of Financial Services	Notes updated
	96	Master of Financial Services (V1)	Commencement date and notes updated
	97	Master of Financial Services (V2)	Commencement date and notes updated
	98	Master of Financial Services (V3)	Commencement date and notes updated
University of New South Wales	99	Master of Financial Planning	Commencement date

University of South Australia	100	DMFP Master of Finance (Financial Planning)	Commencement date and units updated
University of Southern Queensland	101	Master of Management (Personal Financial Planning)	Commencement date and units updated
University of the Sunshine Coast	105	Master of Financial Planning	Commencement date updated
Western Sydney University	107	Master of Commerce (Financial Planning), Master of Financial Planning	Units updated
FINSIA (formerly Securities Institute Australia)	111	Graduate Diploma of Financial Planning	Delete – Duplicate with 88C