

## Consultation

### Consultation Forums – 23 & 24 May 2018

Stakeholder consultation on: Draft Code of Ethics; Education Pathways; and FASEA's Work Program.

Stakeholders	Organisations Represented
Industry Associations	<ol style="list-style-type: none"> <li>1. Chartered Accountants Australia and New Zealand (CAANZ)</li> <li>2. CPA Australia (CPA)</li> <li>3. Association of Financial Advisers (AFA)</li> <li>4. Australian Banking Association (ABA)</li> <li>5. Financial Services Council (FSC)</li> <li>6. Financial Planning Association (FPA)</li> <li>7. SMSF Association (SMSFA)</li> <li>8. Stockbrokers and Financial Advisers Association (SAFAA)</li> </ol>
Licensees (representing small, medium and large businesses)	<ol style="list-style-type: none"> <li>1. AMP</li> <li>2. ANZ</li> <li>3. Bendigo and Adelaide Bank</li> <li>4. BT Financial Group</li> <li>5. Commonwealth Bank</li> <li>6. Fortnum Private Wealth</li> <li>7. GPS Wealth and Merit Wealth</li> <li>8. Infocus</li> <li>9. Macquarie Group</li> <li>10. Madison Financial Group</li> <li>11. NAB</li> <li>12. Profile Financial Services</li> <li>13. Sentinel Wealth</li> <li>14. Stanford Brown</li> <li>15. StatePlus</li> <li>16. Suncorp</li> <li>17. Sunsuper</li> </ol>
Higher Education Providers	<ol style="list-style-type: none"> <li>1. University of New England</li> <li>2. RMIT University</li> <li>3. Kaplan Professional</li> <li>4. Curtin University</li> <li>5. The University of Adelaide</li> <li>6. Western Sydney Uni</li> <li>7. Charles Sturt University</li> <li>8. Flinders University</li> <li>9. Queensland University of Technology</li> <li>10. University of Newcastle</li> <li>11. Griffith University</li> <li>12. James Cook University</li> <li>13. Swinburne University</li> <li>14. University of Tasmania</li> </ol>

Stakeholders	Organisations Represented
	15. University of South Australia 16. University of Wollongong 17. University of NSW

### Consultation Forum – 29 June 2018

Stakeholder consultation on: Draft Code of Ethics; Education Pathways; and FASEA’s Work Program.

Stakeholders	Organisations Represented
Consumer Representatives	1. Choice 2. West Justice 3. Financial Ombudsman Service 4. COTA Australia 5. National Seniors 6. Community Legal Centres QLD 7. Caxton Legal Centre

### Consultation Forums - November – December 2019

Consultation on Code of Ethics Guidance (released 17 October 2019).

Stakeholders	Organisations Represented
Education Providers	1. Australian Catholic University 2. Central Queensland University 3. Charles Sturt University 4. Curtin University 5. Deakin University 6. Flinders University 7. Griffith University 8. James Cook University 9. Kaplan Professional (Higher Ed) 10. NSW TAFE 11. TAL Education Academy 12. University of Adelaide 13. University of New England 14. University of New South Wales 15. University of Newcastle 16. University of Technology Sydney 17. Western Sydney University

Stakeholders	Organisations Represented
Industry Associations	<ol style="list-style-type: none"> <li>1. Association of Financial Advisers (AFA)</li> <li>2. ATHOC</li> <li>3. Australian Financial Markets Association</li> <li>4. AIST (Australian Institute OF Superannuation Trustees)</li> <li>5. Australian Bankers Association (ABA)</li> <li>6. CFA Institute</li> <li>7. Chartered Accountants Australia New Zealand (CAANZ)</li> <li>8. CPA Australia</li> <li>9. Financial Services Council</li> <li>10. Financial Planners Association (FPA)</li> <li>11. FINSIA</li> <li>12. IMAP</li> <li>13. Responsible Investment Association Australasia</li> <li>14. Stockbrokers and Financial Advisers Association (SAFAA)</li> <li>15. The SMSF Association</li> <li>16. Workplace Super</li> </ol>
Licensees (representing small, medium and large businesses)	<ol style="list-style-type: none"> <li>1. Affinia</li> <li>2. AIA</li> <li>3. AMP</li> <li>4. ANZ Private Banking and Advice</li> <li>5. Australian Unity Financial Services</li> <li>6. BT Financial Group</li> <li>7. Centerpoint Alliance</li> <li>8. Charter</li> <li>9. Clearview Financial Services</li> <li>10. Commonwealth Bank Private Wealth</li> <li>11. Commonwealth FP (CBA)</li> <li>12. Count Financial Group</li> <li>13. Crestone</li> <li>14. Easton Wealth</li> <li>15. Fitzpatrick's</li> <li>16. Fortnum</li> <li>17. GPS Wealth</li> <li>18. IMAP</li> <li>19. IOOF</li> <li>20. Lavista</li> <li>21. Lifespan Financial Planning</li> <li>22. Link Advice</li> <li>23. Macquarie Group</li> <li>24. Maddens</li> <li>25. Madison Financial Group</li> <li>26. MLC</li> <li>27. Morgan Stanley Wealth Management Australia</li> <li>28. Morgans</li> <li>29. NAB FP</li> </ol>

Stakeholders	Organisations Represented
	<ul style="list-style-type: none"> <li>30. NAB Financial Markets</li> <li>31. Paragem</li> <li>32. PHD Consult</li> <li>33. Qsuper/ Qinvest</li> <li>34. Sentinel Wealth Management</li> <li>35. Shaw and Partners</li> <li>36. Stanford Brown</li> <li>37. Suncorp</li> <li>38. Synchron</li> <li>39. True Direction Financial</li> <li>40. The Wealth Network</li> </ul>
Regulators	<ul style="list-style-type: none"> <li>1. ASIC</li> <li>2. TPB</li> <li>3. AFCA</li> </ul>
Consumer Representatives	<ul style="list-style-type: none"> <li>1. CHOICE</li> <li>2. Financial Counselling Australia</li> </ul>